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Address to:
Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SONY-P8407
[S98P0407US00]
First Named Inventor SHINICHI HIRATA

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
A TRANSMITTING/RECEIVING APPARATUS AND A TRANSMITTING/RECEIVING METHOD
CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date April 14, 1998, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL059096145US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Howard Wong

(Name of person mailing paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 54 Pages of specification (including claims and abstract);
 - ii. 21 Sheets of drawings.
☐ formal ☒ informal
3. Declaration or oath
 - a. ☒ Unsigned
4. ☐ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. ☐ Computer Readable Copy
 - ii. ☐ Paper Copy (identical to computer copy)
 - iii. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

6. ☐ An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
7. ☒ Power of Attorney (unsigned)
8. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ☐ references.
9. ☒ Preliminary Amendment.
10. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. ☐ Other
12. FOREIGN PRIORITY
 - [x] Priority of application no. P09-098672 filed on April 16, 1997 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

04/14/98
15544 U.S. PTO

09059765 "041498

____ English Translation Document (if applicable)

a. Amendment changing number of claims or deleting multiple dependencies is enclosed.

	Number Filed	Number Extra	Rate	Basic Fee (\$790)
Total Claims	- 20	* 0	x \$22.00	0
Independent Claims	6 - 3	* 3	x \$82.00	246.
— Multiple dependent claim(s), if any			\$270.00	0

Filing Fee Calculation \$1,036.00

— Recording Assignment [\$40.00]	\$0
— Other fees	
— Specify _____	\$0
Total Fees Enclosed	\$1,036.00

X The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. **A duplicate of this transmittal is attached.**
 LIMBACH & LIMBACH L.L.P.

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